

Atty Docket No. 019693-000100US

PTO FAX NO.: 1-703-746-7239

ATTENTION: Examiner: Pierre Eddy Elisca

Group Art Unit 2161

**SUBMISSION OF INFORMATION DISCLOSURE
STATEMENT****FOR ENTRY INTO APPLICATION NO. 09/475,959
FILED DECEMBER 30, 1999****CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following documents in re Application of Steven Karl Schoch et al., Application No. 09/475,959, filed December 30, 1999 for SYSTEM FOR CONTROLLING THE USE OF LICENSED SOFTWARE is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Transmittal form (1 page)
2. Fee Transmittal (1 page, submitted in duplicate)
3. IDS with PTO/SB/08B (3 pages)
4. Copy of the first page of each cited reference (15 pages)

Number of pages being transmitted, including this page: 21

Dated: February 11, 2002


DJ Bogorodsky

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834
Telephone: 650-326-2400 Fax: 650-326-2422
PA 3200945 v1



Please type a plus sign (+) inside this box → PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

22

Application Number	09/475,959
Filing Date	December 30, 1999
First Named Inventor	Schoch, Steven Karl
Group Art Unit	2161
Examiner Name	Pierre Eddy Ellsca

Attorney Docket Number

019693-000100US

ENCLOSURES (check all that apply)

 Fee Transmittal Form (1 page submitted in duplicate) Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement with PTO/SB/08B (3 pages) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.63 Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Petition Routing Slip (PTO/SB/69) and Accompanying Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):

Facsimile coversheet (1 page)

Copies of page 1 of each cited reference (15 pages)

Remarks
The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

FULL COPIES OF EACH CITED REFERENCE WILL BE SENT UNDER SEPARATE COVER VIA FIRST CLASS MAIL

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

Townsend and Townsend and Crew LLP

Steven Cahill

Reg. No. 44,578

Signature



Date

February 11, 2002

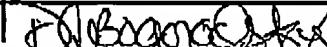
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 746-7239 on February 11, 2002

Typed or printed name

DJ Bogorodsky

Signature



Date

February 11, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3200953 v1



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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 180)

Complete if Known	
Application Number	09/478,859
Filing Date	December 30, 1999
First Named Inventor	Schoch, Steven Karl
Examiner Name	Pierre Eddy Eliece
Group Art Unit	2161
Attorney Docket No.	019693-000100US

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	20-1430
Deposit Account Name	Townsend and Townsend and Crew LLP
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
2. <input type="checkbox"/> Payment Enclosed:	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
108 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 50	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	-20-	Extra Claims	Fee from below	Fee Paid
Independent Claims	-3-			
Multiple Dependent				

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 16	203 9	Claims in excess of 20	
102 64	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid ** Release independent claims over original patent	
109 64	209 42	** Release claims in excess of 20 and over original patent	
110 18	210 9		

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
108 130	205 55	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	130 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
118 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
129 1,980	228 980	Extension for reply within fifth month	
119 320	218 100	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 260	221 140	Request for oral hearing	
138 1,610	128 1,610	Petition to institute a public use proceeding	
140 110	240 85	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or release)	
143 480	243 230	Design issue fee	
144 820	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 180	128 160	Submission of Information Disclosure Stmt	160
581 40	581 40	Recording each patent assignment per property (times number of properties)	
148 740	246 370	Filing a submission after final rejection (37 CFR § 1.128(b))	
149 740	248 370	For each additional invention to be examined (37 CFR § 1.129(b))	
176 740	270 370	Request for Continued Examination (RCE)	
169 800	168 800	Request for expedited examination of a design application	

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180)

SUBMITTED BY

Name (Print/Type)	Steven Cahill	Registration No. (Attorney/Agent)	44,578	Telephone	650-328-3400
Signature	Steven Cahill				
Date	February 11, 2002				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-238.

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Received from <6503262422> at 2/11/02 3:06:45 PM [Eastern Standard Time]

a

I hereby certify that this correspondence is being sent by facsimile transmission to: Examiner - Pierre Eddy Elisca
at Fax No.: 1-703-746-7239

PATENT
Attorney Docket No.: 019693-000100US
Client Reference No.: 019693

On February 11, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: TO: Bogradsky

*#6
CC
2/15/02*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Steven Karl Schoch et al.

Application No.: 09/475,959

Filed: December 30, 1999

For: **SYSTEM FOR CONTROLLING
THE USE OF LICENSED SOFTWARE**

Examiner: Pierre Eddy Elisca

Art Unit: 2161

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the first page of each reference are attached. Full copies of each reference will be enclosed under separate cover via first class mail. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Steven Karl Schöch et al.
Application No.: 09/475,959
Page 2

PATENT

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

Please deduct \$180.00, pursuant to 37 CFR §1.17(p), from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


Steven Cahill
Reg. No. 44,578

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